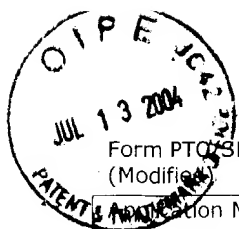


TECH CENTER 1400/2900  
**RECEIVED**  
JUL 22 2004

OFFICE OF PETITIONS

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

**SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.**

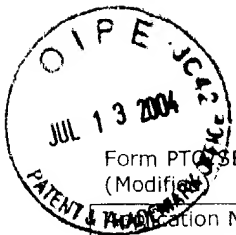


## United States Patent and Trademark Office

DEWIPAT File No. 30.034.10.US

Form PTO/SB/17  
(Modified)**Fee Transmittal 2004**

Application No.	09/735,989	Attorney Docket	ARC2940R1
Filing Date	2000-12-13	Art Unit	1615
Applicant	Johan H. Geerke	Examiner	James M. Spear
Title	Dosage Forms Having a Barrier Layer to Laser Ablation		
<input type="checkbox"/> Applicant claims small entity status.		Total Amount of Payment \$ <b>180</b>	
<b>METHOD OF PAYMENT</b> (check all that apply) <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input type="checkbox"/> Deposit Account: Deposit Account Number: Deposit Account Name: The Director is hereby authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) authorized below <input type="checkbox"/> Credit any overpayments <input type="checkbox"/> Charge any additional fee(s) or any underpayment of fee(s) <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		<b>2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE</b> Extra Claims Fee from below Fee Paid Total Claims - <b>RECEIVED</b> = Independent Claims - <b>JUL 22 2004</b> = Multiple Dependent - <b>OFFICE OF PETITION</b> = Large Entity Small Entity Fee Code Fee (\$) Fee Code Fee (\$) Fee Description 1001 18 2001 9 Claims in excess of 20 1002 86 2002 45 Independent claims in excess of 3 1003 290 2003 145 Multiple dependent claims, if not paid 1004 86 2004 43 **Reissue independent claims over original patent 1005 18 2005 9 **Reissue claims in excess of 20 and over original patent <b>SUBTOTAL (2) \$</b> <input type="text"/> ** or number previously paid, if greater; For reissues, see above.	
<b>FEE CALCULATION</b>			
<b>1. BASIC FILING FEE</b>			
Large Entity Fee Code Fee (\$)	Small Entity Fee Code Fee (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
<b>SUBTOTAL (1) \$</b>			
<b>3. ADDITIONAL FEES</b>			
Large Entity Fee Code Fee (\$)	Small Entity Fee Code Fee (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or coversheet	
1053 130	2053 130	Non-English specification	
1812 2520	1812 2520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1840*	1805 1840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1480	2254 740	Extension for reply within fourth month	
1255 2010	2255 1005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1510	1451 1510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1330	2453 665	Petition to revive - unintentional	
1501 1330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Statement	180
8021 40	8021 40	Recording each patent assignment per property (times number of prop.)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.29(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.29(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	
Other fee (specify) *Reduced by Basic Filing Fee Paid			
<b>SUBTOTAL (3) \$</b>			<b>180</b>
Submitted By (Name)	Adenike A. Adewuya	PTO Registration No.	42,254
Signature	<i>Adenike Adewuya</i>	Telephone	281-477-3450
		Date	7/9/2004



## United States Patent and Trademark Office

DEWIPAT File No. 30.034.10.US

Form PTO-3B/17  
(Modified)**Fee Transmittal 2004**

Application No.	09/735,989	Attorney Docket	ARC2940R1
Filing Date	2000-12-13	Art Unit	1615
Applicant	Johan H. Geerke	Examiner	James M. Spear
Title	Dosage Forms Having a Barrier Layer to Laser Ablation		

☐ Applicant claims small entity status.Total Amount of Payment \$ **180****METHOD OF PAYMENT** (check all that apply)☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:

Deposit Account Number:

Deposit Account Name:

The Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) authorized below ☐ Credit any overpayments☐ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
<b>SUBTOTAL (1) \$</b>					

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Extra Claims		Fee from below		Fee Paid
Total Claims	Independent Claims	Multiple Dependent		
— - 20**	— - 3**			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1001	18	2001	9	Claims in excess of 20
1002	86	2002	45	Independent claims in excess of 3
1003	290	2003	145	Multiple dependent claims, if not paid
1004	86	2004	43	**Reissue independent claims over original patent
1005	18	2005	9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) \$**

\*\* or number previously paid, if greater; For reissues, see above.

**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge – late filing fee or oath	
1052	50	2052	25	Surcharge – late provisional filing fee or coversheet	
1053	130	2053	130	Non-English specification	
1812	2520	1812	2520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1840*	1805	1840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1480	2254	740	Extension for reply within fourth month	
1255	2010	2255	1005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1510	1451	1510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive – unavoidable	
1453	1330	2453	665	Petition to revive – unintentional	
1501	1330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Statement	180
8021	40	8021	40	Recording each patent assignment per property (times number of prop.)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.29(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.29(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) \$** 180

Submitted By (Name)	Adenike A. Adewuya	PTO Registration No.	42,254	Telephone	281-477-3450
Signature	Adenike Adewuya	Date	7/9/2004		

SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.



United States Patent and Trademark Office		DEWIPAT File No. 30.034.10.US	
<b>Information Disclosure Statement</b>			
Application No.	09/735,989	Attorney Docket	ARC2940R1
Filed (yyyy-mm-dd)	2000-12-13	Customer No.	27777
Applicant	Johan H. Geerke	Confirmation No.	596
Examiner	James M. Spear	Art Unit	1615
Title	Dosage Forms Having a Barrier Layer to Laser Ablation		

**RECEIVED**  
JUL 21 2004  
JUL 22 2004  
OFFICE OF PETITION  
TECH CENTER 1600/4999

Applicant submits<sup>1</sup>:

☒ References listed on the attached form PTO 1449\*.

☒ Copies of references are enclosed (U.S. patents/applications omitted if filing date is after June 30, 2003).

☐ A copy of a communication from a foreign patent office in a counterpart application is enclosed.

☐ English language version of foreign search report indicating degree of relevance is enclosed.

☐ Under 35 U.S.C §120, this application relies on the earlier filing date of application serial number \_\_\_, filed on \_\_\_.

The following references were submitted to and/or cited by the Office in the prior application and, therefore, are not provided in this application.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

This statement is being filed:

☐ with the application.

☐ within three months of the filing date of the application.

☐ before the receipt of a first Office Action on the merits.

☐ after a first Office Action on the merits, but before receipt of a final Office Action or a Notice of Allowance.

☐ I, the undersigned, hereby certify that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated \_\_\_, which is not more than three months prior to filing of this statement.

☒ after a first Office Action on the merits, but before receipt of a final Office Action or a Notice of Allowance.

☒ Late submission fee \$ **180** under 37 CFR 1.17(p) is enclosed.

☐ after a final Office Action or a Notice of Allowance, but before payment of the issue fee. I, the undersigned, hereby certify that each item of information in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated \_\_\_, which is not more than three months prior to filing this statement. Applicant hereby petitions under CFR 1.97(d) for this statement to be considered.

☐ Submission fee \$ \_\_\_ under 37 CFR 1.17(p) is enclosed.

☐ Please apply any additional charges or credits to Deposit Account No. \_\_\_.

SIGNATURE OF Applicant, Attorney, or Agent

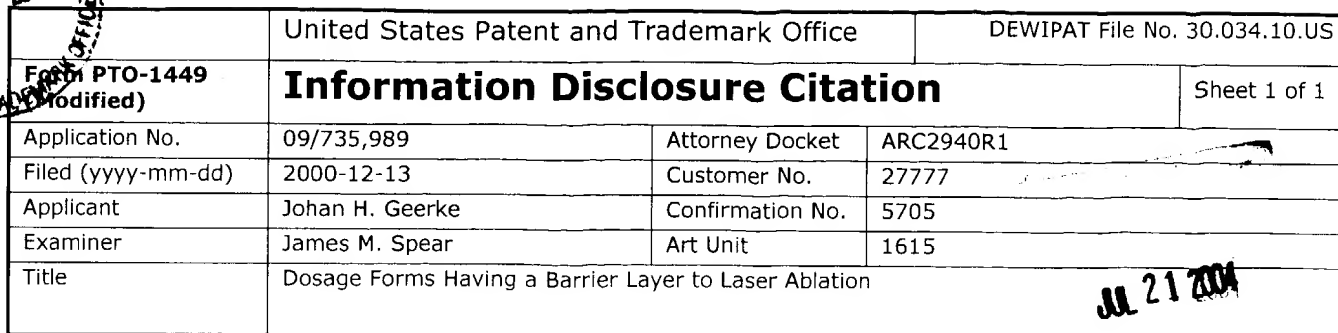
Name	Adenike A. Adewuya	Registration No.	42,254
Signature	<i>Adenike Adewuya</i>	Telephone	281-477-3450
Date	7/9/2004	Facsimile	281-477-3455
<input checked="" type="checkbox"/> *Total of <u>1</u> form PTO-1449 are submitted.			

07/15/2004 BABRAHA1 00000114 09735969

01 FC:1806

180.00 OF

<sup>1</sup> This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.



NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.